

Electronic Acknowledgement Receipt

EFS ID:	2399940
Application Number:	10679054
International Application Number:	
Confirmation Number:	1616
Title of Invention:	Enhanced premium equity participating securities
First Named Inventor/Applicant Name:	Kevin G. Woodruff
Customer Number:	26285
Filer:	Mark G. Knedeisen/Mona Cornelia
Filer Authorized By:	Mark G. Knedeisen
Attorney Docket Number:	030676
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 780
RAM confirmation Number	63
Deposit Account	111110

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:
Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	271862 8bcd9f769d3464a6c56c5642b11951d56c09a855	no	4
Warnings:					
Information:					
2		response.pdf	46281 eb7accd9b3d5f46e84233411437ed908480527	yes	14
	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Amendment - After Non-Final Rejection	1	1		
	Claims	2	10		
	Applicant Arguments/Remarks Made in an Amendment	11	14		
Warnings:					
Information:					
3		IDS.pdf	420891 8e2386e72802e9524c925e457077802d329c458	yes	4
	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Information Disclosure Statement Letter	1	2		
	Information Disclosure Statement (IDS) Filed	3	4		
Warnings:					
Information:					
4	NPL Documents	Rev_Rul_2003_97_NPL.pdf	694306 7b274e4092cc9437c3d3c38d70b5017972739d5	no	11
Warnings:					
Information:					
5	NPL Documents	10677172.pdf	1139823 3e6497f315d778020e651051d31e656c0942789	no	33
Warnings:					
Information:					
6	NPL Documents	10677172_9_27_2007_OA_NPL.pdf	484056 848e84a8665457304b3e471a3a592946543066	no	13

Warnings:**Information:**

7	NPL Documents	10679071_8_3_2007_OA_NPL.pdf	651759	no	18
			233b78416294338c338c8b607cf5a28b4d18e5c14		

Warnings:**Information:**

8	Fee Worksheet (PTO-06)	fee-info.pdf	8315	no	2
			8420d879c1d454545b4cc8259e30d28a22ba50ebee		

Warnings:**Information:**

Total Files Size (in bytes):			3717293		
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.